

Ancient deuterostome origins of vertebrate brain signalling centres

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Neuroectodermal signalling centres induce and pattern many novel vertebrate brain structures but are absent, or divergent, in invertebrate chordates. This has led to the idea that signalling-centre genetic programs were first assembled in stem vertebrates and potentially drove morphological innovations of the brain. However, this scenario presumes that extant cephalochordates accurately represent ancestral chordate characters, which has not been tested using close chordate outgroups. Here we report that genetic programs homologous to three vertebrate signalling centres—the anterior neural ridge, zona limitans intrathalamica and isthmic organizer—are present in the hemichordate *Saccoglossus kowalevskii*. *Fgf8/17/18* (a single gene homologous to vertebrate *Fgf8*, *Fgf17* and *Fgf18*), *sfrp1/5*, *hh* and *wnt1* are expressed in vertebrate–like arrangements in hemichordate ectoderm, and homologous genetic mechanisms regulate ectodermal patterning in both animals. We propose that these genetic programs were components of an unexpectedly complex, ancient genetic regulatory scaffold for deuterostome body patterning that degenerated in amphioxus and ascidians, but was retained to pattern divergent structures in hemichordates and vertebrates.

During vertebrate development, the brain arises from coarsely patterned planar neuroectoderm through successive refinement of regional identities, resulting after morphogenesis and growth in a complex structure composed of highly specialized areas¹⁻³. In contrast, invertebrate chordates have relatively simple nervous systems that lack unambiguous homologues of many vertebrate brain regions⁴⁻⁶. These clear disparities in nervous system complexity indicate that key innovations in patterning mechanisms have attended the evolution of the vertebrate brain from a simpler central nervous system (CNS). However, attempts to identify the presumed genetic regulatory novelties have been mainly inconclusive, and comparative studies have mostly revealed similarities, rather than differences, in the early transcriptional architectures of diverse bilaterian nervous systems⁷⁻¹². Notable exceptions are CNS signalling centres, which have emerged as strong candidates for vertebrate genetic regulatory novelties involved in early vertebrate brain evolution⁸⁻¹⁰. These centres act as secondary organizers that mediate regional patterning in the CNS and are often necessary and sufficient for the establishment of vertebrate-specific brain structures 1-3,13-18.

The anterior neural ridge (ANR), zona limitans intrathalamica (ZLI) and isthmic organizer (IsO) are the three primary signalling centres that direct anteroposterior patterning in the vertebrate anterior neural plate and then later in the developing brain¹⁻³. Homologous signalling centres and their molecular signatures are absent, or divergent, in amphioxus and ascidians^{8-10,19-25}, consistent with the idea that they are vertebrate novelties whose origins could have driven CNS innovations in stem vertebrates⁸⁻¹⁰. However, this idea depends on amphioxus adequately representing ancestral states for chordate developmental genetic characters, and does not account for the possibility of secondary losses in cephalochordates. Here we present developmental data from the hemichordate *S. kowalevskii* to test an alternative scenario for signalling-centre origins; namely, that ANR, ZLI and IsO genetic programs pre-date chordate origins and

were secondarily simplified or lost along the lineages leading to the invertebrate chordates.

Hemichordates are a deuterostome phylum closely related to chordates²⁶ and are a promising outgroup for investigating chordate evolution. Previous studies established that despite substantial bodyplan divergence between the two groups, hemichordates and vertebrates share a broadly conserved transcriptional regulatory architecture during early body patterning^{7,27–29}, which is demonstrated by the close similarities in spatial arrangements of expression domains of many transcription factors that are involved in early bilaterian anteroposterior patterning. This combination of morphological divergence and developmental genetic similarity makes hemichordates an informative outgroup for testing the proposed coupling of vertebrate morphological and developmental genetic innovations. Here, we present descriptive and functional evidence that genetic programs homologous to the ANR, ZLI and IsO are present in S. kowalevskii, indicating that they were elements of an ancient developmental genetic toolkit for deuterostome body patterning that were subsequently modified and elaborated in stem vertebrates to regulate brain development.

An ANR-like regulatory program in hemichordates

The ANR is located in the anterior neural plate of vertebrates and is a source of fibroblast growth factors (FGFs) and secreted frizzled-related proteins (SFRPs), which establish and pattern the telencephalon^{1,2,15,16,30,31}. Topologically consistent with vertebrate CNS expression domains, sfrp1/5 and fgf8/17/18 are expressed in *S. kowalevskii* anterior proboscis ectoderm (Fig. 1a–d). In vertebrates, FGFs and antagonists of the Wnt pathway mediate ANR function and telencephalon patterning^{15,16,30–32}. In mice, conditional Fgfr1, Fgfr2 and Fgfr3 knockout abolishes the telencephalon¹⁶, whereas Fgf8 mutants have a posteriorized neocortex³³. Similarly, treating *S. kowalevskii* embryos with the FGF receptor inhibitor SU5402 (ref. 34) from late gastrula through to

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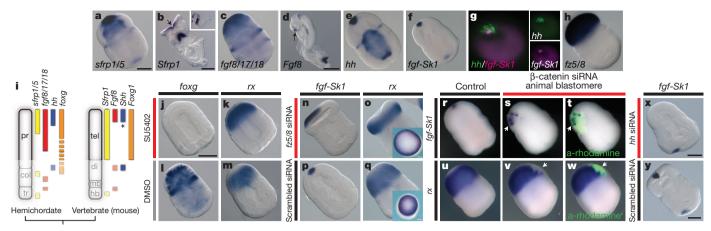


Figure 1 | An ANR-like signalling centre in *S. kowalevskii*. a–h, *S. kowalevskii* and mouse *in situ* hybridizations for markers of ANR and telencephalon. *S. kowalevskii* embryos are at the double-groove stage (36 h), and are shown in dorsal view with the anterior (proboscis) to the top left of the image, except where noted. Embryos are optically cleared except in **o**, **q** (insets) and **r-w**. Mouse embryos are at approximately embryonic day 8.5. **a**, *S. kowalevskii sfrp1/5* expression. **b**, Frontal view of mouse *Sfrp1* expression; arrowhead denotes ANR. Inset shows lateral view. **c**, *S. kowalevskii fgf8/17/18* expression. **d**, Mouse *Fgf8* expression; arrowhead denotes ANR. **e**, *S. kowalevskii hh* expression. **f**, *S. kowalevskii fgf-Sk1* expression. **g**, Frontal view of double FISH for *hh* and *fgf-Sk1*. **h**, *fz5/8* expression. **i**, Anteroposterior expression topologies in *S. kowalevskii* and mouse embryos. Anterior to top. Asterisk indicates *Shh* is expressed in the medial ganglionic eminence, near the ANR. **j-k**, *foxg* (**j**) and *rx* (**k**) expression in embryos treated with SU5402. **l**, **m**, *foxg* (**l**) and *rx*

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raises the possibility that an anterior signalling domain including FGFs, Wnt antagonists and hh was present in stem deuterostomes and was spatially partitioned during vertebrate evolution.

A ZLI-like regulatory program in hemichordates

(m) expression in embryos treated with DMSO. n, Expanded apical fgf-Sk1

expression in an embryo injected with fz5/8 siRNA. o, Retracted rx expression in

an embryo injected with fz5/8 siRNA. p, fgf-Sk1 expression in a siRNA control

embryo. **q**, rx expression in a siRNA control embryo. Insets in **o**, **q** show frontal

views of uncleared embryos. **r**, Wild-type *fgf-Sk1* expression. **s**, *fgf-Sk1* expression

darkfield and fluorescence images showing clonal descendants of the injected

cell (green). **u**, Wild-type rx expression. **v**, rx is not expressed in descendants of a

blastomere injected with β-catenin siRNA. w, Merged darkfield and fluorescence

images showing the location of the β-catenin-deficient clone (green). **x**, fgf-Sk1

siRNA control embryo. Scale bars, 100 μm in S. kowalevskii, and 200 μm (b) and

500 μm (d) in mice. col, collar; di, diencephalon; hb, hindbrain; mb, midbrain;

expression in an embryo injected with hh siRNA. y, fgf-Sk1 expression in a

in descendants of a blastomere injected with β-catenin siRNA. t, Merged

double-groove stages (28–36 h) eliminated the morphological boundary between the proboscis and collar, and resulted in altered gene expression domains, indicating loss and/or posteriorization of anterior proboscis (Fig. 1j–m). Expression of *foxg*, the *S. kowalevskii* homologue of the telencephalon marker *foxg1*, was completely eliminated (Fig. 1j, l), whereas *rx*, which is normally excluded from the anterior proboscis, was expressed up to the anterior limit of the embryo (Fig. 1k, m).

Wnt antagonists expressed in the ANR and/or telencephalon are also critical for forebrain development in vertebrates^{2,15,35}. To explore potential similarities in Wnt functions between the telencephalon and proboscis, we first suppressed Wnt activity in the developing proboscis by using short interfering RNA (siRNA) microinjections to knock down the Wnt receptor frizzled 5/8, which is expressed with a sharp boundary at the proboscis base (Fig. 1h). Fz5/8 siRNA expanded apical identity at the expense of the posterior proboscis, as demonstrated by expansion of the apical marker fgf-Sk1 (Fig. 1n, p) and contraction of rx expression to a more posterior domain (Fig. 10, q). Embryos that were injected with a scrambled control siRNA were indistinguishable from wild-type embryos (Fig. 1p, q), and the effects of fz5/8 knockdown were limited to its endogenous expression domain (Supplementary Fig. 1). Second, we suppressed Wnt signalling in small patches of proboscis ectoderm by injecting β -catenin siRNA into single animal blastomeres at early cleavage stages. β-catenin-deficient clones in the posterior proboscis ectoderm expressed the apical marker fgf-Sk1 (Fig. 1r-t), but not the more posterior proboscis marker rx (Fig. 1u-w), which indicates a transformation of posterior to apical fate in the absence of local Wnt signalling.

Although not a genetic component of the ANR itself, *sonic hedgehog* (*Shh*) is expressed in the nearby medial ganglionic eminence^{1,36} (see Fig. 2c, d) and interacts with FGFs to regulate telencephalon patterning³⁷. The apical *S. kowalevskii* proboscis ectoderm expresses *hedgehog* (*hh*; a homologue of *Shh*) (Fig. 1e) in a domain that partially overlaps *fgf-Sk1* (Fig. 1f), with *hh* more broadly expressed dorsally and laterally (Fig. 1g). Embryos injected with *hh* siRNA lacked apical *fgf-Sk1* expression (Fig. 1x, y) indicating that *hh* at least partially regulates anterior FGF signalling and apical–ventral patterning. This finding

The ZLI is located in the vertebrate diencephalon and specifies the flanking prethalamus and thalamus^{1,17,18,23}. The molecular signature of the ZLI is a narrow, transverse domain of Shh expression (Fig. 2c, d) that patterns the mid-diencephalon along its anteroposterior axis^{17,18,23}. A homologous hh expression domain is absent in invertebrate chordates, supporting the idea that the ZLI is a vertebrate genetic innovation, possibly associated with forebrain origins 10,21-23. Notably, we found that S. kowalevskii hh is expressed in a circumferential ectodermal band at the proboscis-collar boundary (Fig. 2a) in a transcriptional context of expression domains that is similar to the vertebrate forebrain⁷. Expression of the Hh receptor ptch in an overlapping but broader domain than hh itself, indicates that hh signals to adjacent ectoderm (Fig. 2b) similar to the the ZLI (Fig. 2e, f). The proboscis-collar boundary region also expresses fng, otx, wnt8 (Fig. 2g-m, v) and six3 (ref. 7) in spatial arrangements that are characteristic of the mid-diencephalon and ZLI. In vertebrates, *lfng* is expressed broadly in the diencephalon except for the ZLI (ref. 38), whereas Wnt8b and Otx genes mark the ZLI itself in a combinatorial pattern that is unique to this region¹⁸ (Fig. 2i, j, m). S. kowalevskii hh is also expressed in a fng-negative, otx- and wnt8positive territory posterior to six3 (ref. 7) (Fig. 2g, h, k, l, v). Notably, the hemichordate homologues of irx and fezf, which abut at the ZLI and are expressed in anterior abutting domains in Drosophila and amphioxus (ref. 10), show divergent expression patterns in S. kowalevskii (Supplementary Fig. 2), indicating secondary modification in hemichordates. In vertebrates, Otx genes are expressed in restricted domains in the mid-diencephalon at the stage when the ZLI forms (Fig. 2i, j), and otx1l and otx2 knockdown eliminates shh expression at the zebrafish ZLI³⁹. Similarly, in S. kowalevskii, hh and otx are coexpressed in the presumptive proboscis-collar boundary ectoderm shortly after gastrulation (Fig. 2k), and otx siRNA knockdown reduced hh expression at the proboscis-collar boundary (Fig. 2w, x).

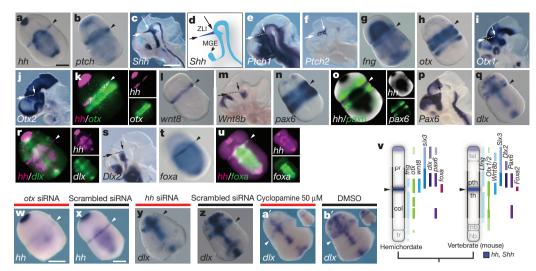


Figure 2 | A ZLI-like signalling centre in *S. kowalevskii*. a-u, *In situ* hybridizations for *S. kowalevskii* and mouse homologues of ZLI and diencephalon markers. Arrowheads mark the proboscis-collar boundary in *S. kowalevskii*. Mouse images show hemisected heads at embryonic day 10.5, and dashed lines indicate the ZLI, with arrows denoting its extent. a, *S. kowalevskii hh* expression. b, *S. kowalevskii ptch* expression (see Supplementary Fig. 3). c, mouse *Shh* expression. d, Diagram of *Shh* expression showing ZLI in dark blue. e, Mouse *Ptch1* expression. f, Mouse *Ptch2* expression. g, *S. kowalevskii fng* expression. h, *S. kowalevskii otx* expression. i, Mouse *Otx1* expression. j, Mouse *Otx2* expression. k, *hh* (magenta) and *otx* (green) are co-expressed at the presumptive proboscis-collar boundary. I, *S. kowalevskii wnt8* expression. m, *Mouse Wnt8b* expression. n, *S. kowalevskii pax6* expression. o, Double *in situ* hybridization showing *pax6* expression (fluorescence, green) anterior to *hh*

(colorometric, black). **p**, Mouse *Pax6* expression. **q**, *S. kowalevskii dlx* expression. **r**, Double FISH showing *dlx* expression (green) in the proboscis base anterior to *hh* (magenta). **s**, Mouse *Dlx2* expression. **t**, *S. kowalevskii foxa* expression. **u**, Double FISH showing *foxa* (green) and *hh* (magenta) expression. **v**, Diagram of anteroposterior expression topologies of ZLI and forebrain marker homologues in *S. kowalevskii* and mice. *Six3* expression based on previous data⁷. Anterior to top. **w**, **x**, *otx* siRNA downregulates *hh* expression at the proboscis–collar boundary (**w**) relative to a control siRNA (**x**). **y**, **z**, *hh* siRNA reduces *dlx* expression in the proboscis base (**y**) relative to a scrambled siRNA (**z**). **a**', **b**', cyclopamine treatment reduces *dlx* expression in the proboscis base (**a**') relative to a control embryo treated with DMSO (**b**'). Insets show ventral views. Scale bars, 100 μm in *S. kowalevskii* embryos, 1 mm in mice. MGE, medial ganglionic eminence; pth, prethalamus; th, thalamus.

Hemichordate homologues of diencephalic markers regulated by Shh at the vertebrate ZLI are also expressed in similar topological arrangements at the S. kowalevskii proboscis-collar boundary. In vertebrates, Pax6 and Dlx2 are expressed anterior to the ZLI in the prethalamus (Fig. 2p, s), where their expression requires Shh17,18. Similarly, S. kowalevskii pax6 and dlx are expressed anterior to hh at the proboscis base (Fig. 2n, o, q, r, v). In vertebrates, Foxa2 is expressed in the ZLI itself¹⁷, and in S. kowalevskii, foxa is expressed at the proboscis–collar boundary (Fig. 2t, u). Targeting *hh* function in S. kowalevskii by injecting hh siRNA downregulated dlx at the proboscis-collar boundary (Fig. 2y, z). However, these embryos had other strong defects in anteroposterior and dorsoventral patterning, probably owing to hh having additional roles that complicated the assessment of hh function at the proboscis-collar boundary (Supplementary Fig. 3). To reduce early pleiotropic effects, we treated embryos with the Hh signalling inhibitor cyclopamine⁴⁰ from the end of gastrulation through double-groove stage. Treating embryos with 50 μM cyclopamine downregulated *dlx* at the proboscis–collar boundary with limited effects on midline expression and general morphology (Fig. 2a', b'), suggesting that Hh signalling from the proboscis-collar boundary regulates dlx. The prominent similarities in expression of ZLI marker homologues in S. kowalevskii and vertebrates, and the conserved functions for otx and hh, suggest that an ancestral signalling centre homologous to the ZLI was present in early deuterostomes.

An IsO-like regulatory program in hemichordates

The IsO is located at the midbrain–hindbrain boundary (MHB) and is defined molecularly by abutting domains of FGF8 and WNT1, which induce and pattern adjacent neural structures^{1,3,13,14,42}. The search for a pre-vertebrate IsO has focused on expression patterns of these ligands along with orthologues of the transcription factors *otx*, *gbx*, *en* and *pax2/5/8*, whose combinatorial expression patterns in vertebrates define a molecular territory unique to the MHB (Fig. 3b, d, g, i, m, p–r). In amphioxus, CNS expression of *fgf8/17/18* is restricted to the

anterior cerebral vesicle^{19,24}, and wnt1 is not expressed in the CNS²⁵. In Ciona intestinalis, fgf8/17/18 is expressed in the larval visceral ganglion where it regulates en and pax2/5/8 to delineate the sensory vesicle and neck regions²⁰, suggesting that at least a partial IsO-like signalling centre pre-dates vertebrates. However, wnt1 is absent in the C. intestinalis genome, making it difficult to infer the full extent of this ancestral centre. In *Drosophila melanogaster*, otx and gbx orthologues are expressed in patterns similar to those at the MHB, but the absence of compelling similarities in the expression of fgf8/17/18-related genes, and repeated expression of wnt1 and en at parasegmental boundaries¹² weakens the idea of homology with the vertebrate IsO. To assess the presence of an IsO-like region in hemichordates, we investigated expression patterns for S. kowalevskii homologues of vertebrate MHB markers (Fig. 3a-t). We found that at double-groove stage (36 h), fgf8/ 17/18 and wnt1 are expressed in adjacent ectodermal bands in the anterior trunk with wnt1 expressed anterior to fgf8/17/18 (Fig. 3a, c, e); a topology similar to the vertebrate IsO (Fig. 3b, d). In vertebrates, abutting domains of otx and gbx genes position the IsO (ref. 3), and opposing otx and gbx domains are also found in protostomes¹² and amphioxus^{8,9}, indicating that this pattern is ancestral to bilaterians. A reassessment of otx and gbx expression patterns in S. kowalevskii⁷ revealed that they are also expressed in adjacent domains at the collar-trunk coelom boundary, with gbx expressed in the ectoderm between the most posterior otx domains (Fig. 3f, h, j). However, the spatial arrangements of otx and wnt1, and gbx and fgf8/17/18, are reversed in S. kowalevskii and vertebrates (Fig. 3t) indicating that divergent mechanisms position homologous IsO-like regions in these groups. In vertebrates, pax2, pax5 and pax8, and en1 and en2 are co-expressed at the MHB (Fig. 3m, p-r). However, expression of pax2/5/8 and en does not overlap in S. kowalevskii (Fig. 3n, o) or invertebrate chordates^{9,20}, which suggests that regulation of en genes by pax2/5/8 genes is a novel feature of vertebrate development. Beyond similarities in expression of signalling molecules and transcription factors, we found that the catecholaminergic neuron marker tyrosine hydroxylase is co-expressed

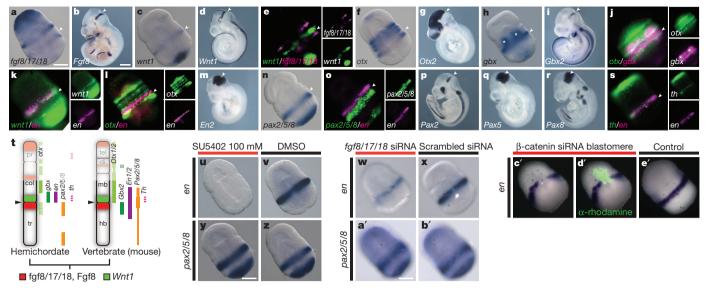


Figure 3 | An IsO-like signalling centre in *S. kowalevskii*. a–s, *In situ* hybridizations for *S. kowalevskii* and mouse homologues of MHB markers. Arrowheads mark the *S. kowalevskii* collar–trunk coelom boundary and the mouse IsO. a, *S. kowalevskii fgf8/17/18* expression. b, Mouse *Fgf8* expression. c, *S. kowalevskii wnt1* expression. d, Mouse *Wnt1* expression. e, Double FISH showing *S. kowalevskii wnt1* (green) expressed directly anterior to *fgf8/17/18* (magenta). f, *S. kowalevskii otx* expression. g, Mouse *Otx2* expression. h, *S. kowalevskii gbx* expression. Asterisks denote endodermal domains. i, Mouse *Gbx2* expression. j, Double FISH for *S. kowalevskii otx* (green) and *gbx* (magenta). k, Double FISH for *S. kowalevskii wnt1* (green) and *en* (magenta). l, Double FISH for *S. kowalevskii wnt1* (green) and *en* (magenta). l, Double FISH for *S. kowalevskii en* and *otx.* m, Mouse *En2* expression. n, *S. kowalevskii pax2/5/8* expression. o, Double FISH showing *S. kowalevskii pax2/5/8* and *en* expression. p–r, Expression of mouse *Pax2* (p), *Pax5* (q) and *Pax8*

(r). s, Double FISH for *S. kowalevskii* tyrosine hydroxylase (green) and *en* (magenta). t, Summary of anteroposterior expression topologies in hemichordates and mice. Anterior to top. u, *en* expression is reduced in an embryo that has been treated with SU5402. v, *en* expression in a DMSO-treated control embryo. w, *en* expression in an embryo injected with *fgf8/17/18* siRNA. x, *en* expression in an embryo injected with a control siRNA. y, *pax2/5/8* expression in an embryo treated with SU5402. z, *pax2/5/8* expression in a DMSO-treated control embryo. a', *pax2/5/8* expression in an embryo injected with *fgf8/17/18* siRNA. b', *pax2/5/8* expression in an embryo injected with a control siRNA. c', *en* is not expressed in descendants of a blastomere injected with β-catenin siRNA. d', Merged darkfield and fluorescence images showing the location of the β-catenin-deficient clone (green). e', Wild-type *en* expression. Scale bars, 100 μm in *S. kowalevskii* embryos; 1 mm in mice.

with *en* in the *S. kowalevskii* posterior collar (Fig. 3s) similar to vertebrates⁴¹, raising the possibility that this neuronal population is homologous to vertebrate midbrain dopaminergic neurons.

Functional assays further support the deep deuterostome ancestry of a MHB-like genetic module. In vertebrates, fgf8 mediates the organizing abilities of the IsO and maintains expression of other MHB markers^{1,3,13,14}. To assess similar requirements for FGFs in hemichordates, we first treated embryos with 100 µM SU5402 at the end of gastrulation to suppress FGF signalling without perturbing earlier patterning events. Expression of en was strongly reduced in SU5402-treated embryos (Fig. 3u, v), whereas pax2/5/8 was unaffected (Fig. 3y, z), compared to control embryos treated with dimethylsulphoxide (DMSO). To test specifically for a role of fgf8/17/18 in regulating collar-trunk patterning, we injected fertilized oocytes with fgf8/17/18 siRNA. Knockdown of fgf8/17/18 reduced en expression (Fig. 3w, x) but had no effect on pax2/5/8 expression (Fig. 3a', b') relative to embryos injected with a scrambled control siRNA. The absence of any effect on pax2/5/8 expression in these experiments highlights differences in gene regulation downstream of fgf8/17/18 homologues between hemichordates and chordates^{3,20}.

In vertebrates, Wnt1 is required to maintain expression of en genes at the MHB^{3,42}. To assess local Wnt functions at the S. kowalevskii collar–trunk boundary, we injected single blastomeres with β -catenin siRNA at early cleavage stages to suppress Wnt signalling in small patches of collar–trunk ectoderm. Clonal descendants of injected blastomeres failed to express en (Fig. 3c'-e'), suggesting a similar role for Wnts in regulating en genes at the MHB and collar–trunk boundary.

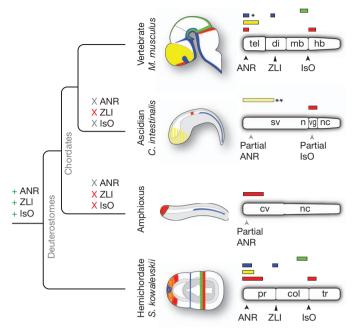
Discussion

Extensive similarities in expression patterns of signalling-centre markers and conserved functions for FGF, Wnt and Hh signals in *S. kowalevskii* and vertebrates provide compelling evidence that signalling centres

homologous to the ANR, ZLI and IsO were parts of an ancient genetic regulatory scaffold that pre-date the morphological innovations of vertebrates (Fig. 4). Therefore, assembly of these genetic networks did not trigger morphological novelties of the brain. Instead, early vertebrate brain evolution involved modifying and elaborating ancestral signalling centres to pattern novel structures within a highly conserved gene regulatory framework for anteroposterior ectodermal patterning⁷.

Widespread losses of signalling-centre components in invertebrate chordates and the unresolved nature of the ancestral deuterostome nervous system present a challenge for inferring when the ANR, ZLI and IsO genetic circuits were first deployed to regulate CNS patterning specifically, and to what extent these integrations could have been associated with origins of vertebrate novelties. In S. kowalevskii, signalling-centre programs are deployed at stages when the nervous system is still circumferentially organized⁷ and in body regions that have been described as containing components of the adult peripheral, rather than central, nervous system in the hemichordate Ptychodera flava⁴³. Notably, similar to their roles in the vertebrate brain, the ZLI and IsO-like signalling centres are located at morphological boundaries in S. kowalevskii, suggesting ancient roles in demarcating ectodermal divisions. We propose that rather than having evolved for CNS patterning, the most ancient role for signalling centres was in general body plan regionalization.

This work also highlights that basal chordates have not retained all ancestral chordate characters and have undergone substantial independent evolutionary changes. This is generally accepted for urochordates, but based on available data, cephalochordates are often considered to be the most informative extant group for reconstructing ancestral chordate characters^{8,9,24}. Our data provide clear evidence that secondary losses of complex developmental mechanisms have occurred in cephalochordates, and that overlooking this possibility



- + Gain X Loss X Partial loss
- fgf8/17/18, Fgf8 □ sfrp1/5, Sfrp1 ■hh, Shh Wnt1

Figure 4 | Evolutionary gain and loss of ANR, ZLI and IsO-like genetic programs. Schematic diagrams depicting the expression of *Fgf8*, *Sfrp1*, *Shh* and *Wnt1* homologues in the mouse brain and ectoderm of *C. intestinalis*, amphioxus and *S. kowalevskii*. Embryos are oriented with their anterior side to the left of the image and their dorsal side to top. Bar diagrams are oriented with the anterior side to the left of the image. Diagrams depict only expression domains that are related to signalling components of vertebrate CNS signalling centres. cv, cerebral vesicle; n, neck; nc, nerve cord; sv, sensory vesicle; vg, visceral ganglion. Diagrams are not to scale. Single asterisk indicates that *Shh* is expressed in the medial ganglionic eminence, near the ANR. Double asterisk indicates that *sfrp1/5* is expressed in the *C. intestinalis* anterior ectoderm from the 64-cell stage up to neurulation but is then downregulated in the anterior ectoderm and CNS (shown as yellow stripes).

can inflate the numbers of putative vertebrate novelties. Although divergence of ANR, ZLI and IsO-like genetic programs in extant invertebrate chordate lineages may have been associated with the loss or modification of anterior ectodermal structures of the CNS and head, this is difficult to test. On the basis of these new observations, re-analysis of early chordate fossils that accommodates scenarios of greater anterior complexity in stem chordates may be informative. However, in the absence of additional fossil data, predictions of the morphological consequences of developmental genetic losses based on molecular data alone are unreliable.

This study provides a compelling example of the challenges associated with identifying key developmental genetic innovations responsible for morphological innovations at macroevolutionary scales. The unexpected presence of ANR, ZLI and IsO-like programs in S. kowalevskii highlights that basing outgroup choice solely on morphological criteria can lead to erroneous conclusions about links between morphological and developmental genetic characters: although by almost all morphological criteria amphioxus shares more similarities with vertebrates than do hemichordates, our data support the hypothesis that in certain cases hemichordates will be a more informative group than basal chordates for reconstructing stem chordate characters and understanding the origins of vertebrate developmental genetic processes. Additional data from protostomes, especially lophotrochozoans, will be required to assess whether the ANR, ZLI and IsO genetic programs are unique deuterostome features or have even deeper bilaterian origins.

Our findings highlight the importance of broad phylogenetic sampling, including morphologically divergent outgroups, to identify gene regulatory innovations responsible for evolutionary changes in body plans. With growing use of novel model organisms, it seems that secondary losses of complex developmental regulatory characters may occur commonly^{44–46}, with consequences for morphological evolution that are still poorly understood. Conversely, the presence of complex developmental modules that regulate morphologically disparate structures in distantly related lineages suggests a loose coupling between morphological and gene regulatory evolution over macroevolutionary timescales, and highlights the difficulties of reconstructing ancestral morphological characters from molecular genetic data.

METHODS SUMMARY

Gravid S. kowalevskii were collected at Waquoit Bay National Estuarine Research Reserve near Woods Hole, Massachusetts, and maintained at the Marine Biological Laboratory, Woods Hole. Spawning and embryo rearing was carried out using established techniques⁷. For SU5402 and cyclopamine treatments, embryos were raised either in inhibitor, or in an equivalent concentration of DMSO, diluted in 0.2 µm-filtered sea water. siRNA microinjections were performed as described previously^{27,29} using calcein or lysinated 10,000 molecular weight (MW) tetramethylrhodamine dextran tracers. Descendants of injected blastomeres were detected by anti-tetramethylrhodamine immunofluorescence. siRNA sequences are provided in Supplementary Table 1. Procedures involving mice (Mus musculus) were performed under a protocol approved by the University of Chicago Institutional Animal Care and Use Committee. Embryo fixations and colorimetric in situ hybridizations were performed using standard protocols^{7,31}. See Methods for fluorescent in situ hybridization (FISH) protocol. Photographs were taken using Zeiss Axiocam MRc5 or MRm cameras on a Zeiss AxioImager.Z1 or a Discovery. V12. Images were acquired using Zeiss Axiovision 4.8 software and adjusted for colour balance and/or levels or gamma using Axiovision 4.8 or Adobe Photoshop CS3 or CS5 software. Images of experimental and control embryos were processed using the same parameters. S. kowalevskii homologues of vertebrate genes were identified in an expressed sequence tag (EST) library screen⁴⁷. Amino acid sequences were aligned using ClustalW⁴⁸, and putative homologues were confirmed by constructing gene trees using MrBayes 3.1.2 (refs 49,50) with parameters optimized for each gene (Supplementary Figs 4 and 5). See Supplementary Table 2 for accession numbers.

Full Methods and any associated references are available in the online version of the paper at www.nature.com/nature.

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Supplementary Information is linked to the online version of the paper at www.nature.com/nature.

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Author Contributions A.M.P., C.J.L. and J.A. conceived the project. A.M.P. and C.J.L. performed the hemichordate experiments and wrote the paper. E.E.M. and S.A. performed mouse experiments, and E.A.G. edited the paper. All authors discussed and commented on the data.

Author Information *S. kowalevskii* gene sequences have been deposited in GenBank, and accession numbers are provided in Supplementary Table 2. Reprints and permissions information is available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of this article at www.nature.com/nature. Correspondence and requests for materials should be addressed to C.J.L. (clowe@stanford.edu).

METHODS

Embryo procurement. Gravid *S. kowalevskii* adults were collected from the intertidal zone of sandy estuaries near Woods Hole, Massachusetts, and maintained in flow-through seawater tables at the Woods Hole Marine Biological Laboratory. Spawning and embryo rearing were carried out using established techniques⁷. Mouse embryos were obtained using standard protocols approved by the University of Chicago Institutional Animal Care and Use Committee.

Experimental manipulations. SU5402 (Calbiochem) and cyclopamine (Calbiochem) were resuspended in minimal volumes of DMSO and diluted in 0.2 μ m-filtered sea water for treatments. Embryos were raised at room temperature. Control embryos were obtained from the same batches and raised in an equivalent concentration of DMSO. siRNA microinjections were performed as described previously 27,29 . siRNAs were resuspended at 100 mM and injected at 1/10 concentration in a suspension buffer 27 including either calcein or lysinated 10,000 MW tetramethylrhodamine dextran (Molecular Probes). Unsuccessfully injected embryos, or embryos with abnormal cleavage patterns, were discarded. siRNAs were ordered from Ambion or Qiagen, and sequences are provided in Supplementary Table 1.

In situ hybridizations. Colorimetric in situ hybridizations were performed as described previously^{7,31}. Clonal descendants of injected blastomeres were identified after in situ hybridization using anti-tetramethylrhodamine (Molecular Probes) immunofluorescence. For FISH, pre-hybridization steps were performed using our standard protocol. For double FISH, embryos were simultaneously hybridized with antisense RNA probes for both genes labelled separately with digoxigenin-11-UTP (Roche) or fluorescein-12-UTP (Roche). Owing to a reduced sensitivity of FISH, we typically used two to three times more probe compared to colorimetric methods. We used our standard protocol for posthybridization washes and blocking steps. Embryos were then incubated in antidigoxigenin-POD or anti-fluorescein-POD antibodies (Roche) diluted 1:200 in blocking solution (2% Roche blocking reagent, 1× MAB) for 4 h at room temperature on a rotary shaker. Embryos were washed four times in 1× MAB for 30 min and once for 1 h at room temperature, or overnight at 4 °C. Embryos were then washed in 0.1 M imidazole (Sigma) in 1× PBS for 10 min at room temperature and probes were detected using a Tyramide Signal Amplification (TSA) Plus kit (Perkin-Elmer). Embryos were rinsed in $1\times$ amplification diluent and incubated in cyanine-3 or cyanine-5 tyramide diluted 1:50 in $1\times$ amplification diluent for 45 min on a rotary shaker at room temperature. Embryos were washed three times in detergent solution (1% triton X-100, 1% SDS, 0.5% sodium deoxycholate, 50 mM Tris-HCl pH 8.0, 1 mM EDTA pH 8.0, 150 mM sodium chloride) for 20 min at 60 °C. When detecting a second gene, we washed the embryos once for 20 min in solution X (50% formamide, 2× SSC and 1% SDS) at 60 °C. Embryos were then washed three times in $1\times$ MAB for 5 min and returned to the blocking step.

For genes with low signal under standard FISH methods, we performed an additional 2,4-dinitrophenol (DNP) amplification step. After primary antibody incubation and $1\times$ MAB washes, embryos were incubated in DNP amplification reagent that was diluted 1:50 in $1\times$ amplification diluent (Perkin-Elmer) for 5–10 min at room temperature on a rotary shaker. Embryos were washed four times in $1\times$ MAB for 30 min and once for 1 h at room temperature, re-blocked for 1 h and incubated in anti-DNP-HRP antibody (Perkin-Elmer) that was diluted 1:200 in blocking solution for 4 h at room temperature on a rotary shaker. Embryos were washed four times in $1\times$ MAB for 30 min and once for 1 h at room temperature. Expression was then detected using a TSA Plus kit (Perkin-Elmer) as described above.

Photography. Embryos were photographed using Zeiss Axiocam MRc5 or MRm cameras on Zeiss AxioImager.Z1 or Discovery.V12 microscopes. For optical clearing, *S. kowalevskii* embryos were dehydrated into methanol, cleared using Murray's clearing reagent (1:2 benzyl alcohol to benzyl benzoate) and mounted in permount (Fisher Scientific). Cleared embryos were imaged under differential interference contrast optics and uncleared embryos were photographed in 1× PBS or 1× MAB on agarose-coated dishes. Images were acquired using Zeiss Axiovision 4.8 software and adjusted for colour balance and/or levels or gamma using Zeiss Axiovision 4.8 or Adobe Photoshop CS3 or CS5 software. Images of experimental and control embryos were processed using the same parameters. **Gene identification.** *S. kowalevskii* homologues of vertebrate genes were identified in an EST library screen⁴⁷, amino acid sequences were aligned using ClustalW⁴⁸ and putative homologues were confirmed by constructing gene trees using MrBayes 3.1.2 (refs 49,50) with parameters optimized for each gene (Supplementary Figs 4 and 5). See Supplementary Table 2 for accession numbers.