Mechanical amplification by hair cells in the semicircular canals

Richard D. Rabbitt*,†, Richard Boyle*, and Stephen M. Highstein*

*University of Utah, Salt Lake City, UT 84103, †Marine Biological Laboratory, Woods Hole, MA 02543, ‡BioVlS Center, NASA Ames Research Center, Moffett Field, CA 94035

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Sensory hair cells are the essential mechanotransducers of the inner ear, responsible not only for the transduction of sound and motion stimuli but also, remarkably, for nanomechanical amplification of sensory stimuli. Here we show that semicircular canal hair cells generate a mechanical nonlinearity in vivo that increases sensitivity to angular motion by amplification at low stimulus strengths. Sensitivity at high stimulus strengths is linear and shows no evidence of amplification. Results suggest that the mechanical work done by hair cells contributes ~97 fJ/cell of amplification per stimulus cycle, improving sensitivity to angular velocity stimuli below ~5°/s (0.3-Hz sinusoidal motion). We further show that mechanical amplification can be inhibited by the brain via activation of efferent synaptic contacts on hair cells. The experimental model was the oyster toadfish, Opsanus tau. Physiological manifestation of mechanical amplification and efferent control in a teleost vestibular organ suggests the active motor process in sensory hair cells is ancestral. The biophysical basis of the motor(s) remains hypothetical, but a key discriminating question may involve how changes in somatic electrical impedance evoked by efferent synaptic action alter function of the motor(s).

Results and Discussion

Each semicircular canal forms a toroidal loop of fluid that displaces in response to angular acceleration of the head. This fluid displacement compels deflection of a gelatinous diaphragm, the cupula, that spans the entire cross-section of the ampulla and covers the sensory epithelium. Deflection of the cupula leads to sensory transduction through gating of mechanosensitive ion channels located in the stereocilia of hair cells and, potentially, reversing transduction and amplification through active forces generated by the hair cells and/or hair bundles. Using the approach illustrated in Fig. 1, we measured motion of fluorescent microbeads adhered to the surface of the cupula and recorded single-unit afferent discharge in response to micromechanical indentation of the canal duct (which mimics angular rotation of the head (31)), both with and without bipolar electrical stimulation of the efferent vestibular nucleus (which mimics neural activity analogous to control of somatic motility in mammalian outer hair cells (19)). It also has been shown that saccular hair bundles of the bullfrog (20) and semicircular canal hair bundles of the eel (21) move in response to transepithelial electric fields and may generate physiologically relevant forces during transduction. Hair bundles from the saccule exhibit an active process, compressive nonlinearity, that enhances mechanical motion for low stimulus strengths (22), and spontaneous oscillations (23, 24) demonstrating the ability of the hair bundle to do mechanical work on the environment. Mechanical power output and amplification by the hair bundle can be evoked by driving the motion of the hair bundle with flexible fiber (25). These features are fundamentally nonlinear and suggest that hair bundles may be poised on the brink of a limit cycle oscillation to maximize tuning and amplification (26). The extent to which this active process is physiologically relevant to semicircular canal vestibular sensation is unclear. Analogous to the mammalian cochlea and other auditory organs, the vestibular semicircular canals generally receive an extensive efferent innervation that controls sensitivity to physiological stimuli (27–30), but it is not known if efferent activation alters hair-bundle motion or amplification. These and related data compel the hypothesis that vestibular organs are active electromechanical amplifiers that are controlled by the brain via the efferent system. To test this hypothesis, we measured the motion of fluorescent microbeads attached to the cupula overlying hair bundles in the vestibular semicircular canals in response to physiological stimuli in vivo. Results demonstrate an active process that amplifies cupula movements for low stimulus strengths in the semicircular canals. The micromechanical nonlinearity and amplification were eliminated by electrical activation of the brainstem efferent vestibular nucleus, thus proving the hair-cell origin of the mechanical amplification reported here.

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†To whom correspondence should be addressed. E-mail: r.rabbitt@utah.edu.
control of vestibular sensation (32)]. We used a teleost fish, Opsanus tau, as the experimental model to facilitate the approach (30, 33). Fig. 2 shows typical displacements of the cupula (Fig. 2B and E) and the discharge rate in spikes per second (spk/s) of two selected afferents (Fig. 2A and D) in response to an ~20-μm mechanical indentation of the duct (Fig. 2C and F). A 20-μm (peak-to-peak) sinusoidal mechanical indentation has been shown to produce endolymph displacement and afferent responses equivalent to a peak-to-peak sinusoidal angular head velocity of ~80 °/s (31). Cupula motion followed the sinusoidal stimuli (Fig. 2B) and exhibited slow adaptation in response to step stimuli (Fig. 2E). It is important to note that motion was measured near the center of the cupula and, because the cupula deflects like a diaphragm attached around its entire periphery (34), hair-bundle deflections would be considerably smaller in magnitude (33). Consistent with previous reports in this species, afferents exhibited more harmonic distortion relative to the cupula and multiple time constants of adaptation to step stimuli, with some units entering cut-off during inhibitory stimuli (35). These discharge patterns quantify the neural code transmitted to the brain by the semicircular canals.

Also consistent with previous reports in this species, activation of the efferent system typically evoked an increased average discharge rate and reduced gain that varied in time course and magnitude between individual afferents (30, 32). The change in average discharge and gain in one example unit is illustrated in Fig. 3A for a sinusoidal stimulus (Fig. 3C). Concomitant efferent-evoked changes in cupula motion were not present (Fig. 3B). Rather, efferent effects on afferent discharge were caused primarily by inhibitory synaptic contacts on hair cells that underlie the reduced gain and by excitatory synaptic contacts on afferents that underlie the increased background discharge (30). Although the predominant efferent effects are on the neural level (compare

![Fig. 1. Experimental set-up. (A) Schematic of surgically exposed region of the membranous labyrinth showing location of indentation stimulus, single-unit afferent recording, and fluorescent microbead placement. (B) Bipolar stimulating electrodes placed in the brainstem efferent vestibular nucleus. (C) Lower) Fluorescent microbeads adhered to the cupula. (Upper) Intensity of a single bead viewed through the transparent membranous labyrinth in the living animal.](image)

![Fig. 2. Afferent discharge and cupula displacement. (A–C) Afferent discharge (A) and cupula motion (B) recorded simultaneously in response to sinusoidal mechanical indentation of the membranous duct (C). The electronic shutter of the CCD camera was open ~50 ms to collect each image. Image acquisition times (+ in B and E) define the times when bead positions were measured; straight lines connect the data points to clarify the waveform. (D–F) Afferent discharge and cupula motion for step indentation stimuli showing the excitatory–inhibitory asymmetry adaptation of afferent discharge that was not present in cupula motion (which was nearly symmetric at these stimulus levels) and differences in time constants of afferent vs. cupular adaptation. Note that 1-μm sinusoidal mechanical indentation evokes afferent responses and cupula displacements equivalent to ~4 ° angular velocity of the head (31); hence the 20-μm stimuli are equivalent to an angular head velocity of 80 °/s (peak-to-peak). The small periodic oscillations in D and E are the result of an uncorrected respiration movement artifact.](image)

![Fig. 3. A and B), we were interested specifically in determining if a mechanical component was present at low stimulus levels where a hair-cell active process might be observable. To investigate this question, we used tonic efferent activation. Tonic activation of the efferent vestibular system generates an initial transient increase at the onset of the stimulus followed by tonic changes in afferent discharge rate and gain (29, 30). One example from the present set of experiments is shown in Fig. 5 D and E where the discharge rate of this afferent adapted to a tonic level with no obvious change in the adaptation time constant (*) to mechanical stimuli (35). Subsequent results shown in Fig. 4 and Fig. 5 were obtained during this form of tonic efferent activation.](image)

![We measured the micromechanical response as a function of stimulus strength to examine if cupula movements involved active power output by hair cells. Fig. 44 provides raw data illustrating a nearly linear response for large-magnitude stimuli that became nonlinear and distorted for low-magnitude stimuli (Fig. 44). This behavior was eliminated by tonic activation of the efferent vestibular nucleus (Fig. 4C), activation that is known to disable a subset of hair cells in the semicircular canal by opening a large ionic conductance in the basal membrane (30). Insets in Fig. 4 directly compare cupula displacements in the control condition (solid blue line) and displacements with efferent activation (green dashed line). (A) Large-strength stimuli (a), responses in the two conditions were virtually identical and tracked the 0.3-Hz sinusoidal stimulus. Responses for large-strength stimuli were dominated by the first harmonic, with low harmonic distortion and only one peak per cycle. For low-strength 0.3-Hz sinusoidal stimuli (b), cupula displacements in the control condition exhibited a large second harmonic component (at 0.6 Hz), manifested in this example as two peaks per cycle and resembling a rectified response. This second harmonic was greatly reduced during efferent activation (green dashed line). Dependence of cupula displacements on stimulus strength and efferent activation are quanti-](image)
plots the amplitude (Efferent action on afferent discharge. Cupula is the cupula displacement in the passive condition during tonic is the A | D f6 μ = 20log(A/P)

b) Nonlinear > and s sfi Mechanical compressive nonlinearity and elimination by efferent

PNAS Early Edition show direct comparisons of

Nonlinear micromechanical displacements for stimuli near the threshold of sensation. (A–C) Activation of the efferent vestibular system by delivering 200 shocks per second to the brainstem (30) typically increased the average discharge rate (spk/s) of sensitive afferents and reduced the peak-to-peak amplitude of modulation. For sinusoidal stimuli greater than ~2-μm indentation (equivalent to ~8% angular head rotation), efferent activation had no obvious effect on displacement of the cupula (e.g., B). (D and E) Continuous activation of the efferent system evoked tonic changes in afferent discharge rates far outlasting transient discharge modulations induced by mechanical stimuli (*). Subsequent results shown in Figs. 4 and 5 report changes in cupula motion during tonic efferent activation.

animals tested, the mechanical stimuli above which the response was linear averaged 4.5 °/s (SE 1.2°/s).

Activation of the efferent system extended mechanical linearity to the entire range studied (~100 nm–3 μm; solid blue diagonal line intercepting zero). For cupula motions less than ~500 nm, micromechanical displacement of the cupula was amplified. This increased displacement compresses a broad range of stimulus strengths into a narrower range of cupula responses and therefore is termed a “compressive nonlinearity.” Results are analogous to the compressive micromechanical response of the basilar membrane in the mammalian cochlea (36). For low-stimulus strengths, the amplification gain associated with compression was about 6 dB. This amplification gain was computed using the formula \[ G_{dB} = 20 \log(A/P) \], where \( G_{dB} \) is the gain, \( A \) is the cupula displacement in the active control condition, and \( P \) is the cupula displacement in the passive condition during tonic efferent activation. We were not able to discover the lower limit of the mechanical compressive response or the full gain associated with the effect (Fig. 5A, dotted vs. dashed red lines) because of experimental limitations. For sinusoidal stimuli, the additional gain arose primarily from a second harmonic that grew relative to the first harmonic as the stimulus magnitude was reduced (Fig. 5B). The second harmonic was greatly attenuated with efferent activation (Fig. 5B, filled red boxes vs. filled blue circles). This second harmonic is analogous to a rectified response and compelled positive cupula displacements during both the excitatory and inhibitory phases of the sinusoidal stimulus. This is

Fig. 3. Efferent action on afferent discharge. (A–C) Activation of the efferent vestibular system by delivering 200 shocks per second to the brainstem (30) typically increased the average discharge rate (spk/s) of sensitive afferents and reduced the peak-to-peak amplitude of modulation. For sinusoidal stimuli greater than ~2-μm indentation (equivalent to ~8% angular head rotation), efferent activation had no obvious effect on displacement of the cupula (e.g., B). (D and E) Continuous activation of the efferent system evoked tonic changes in afferent discharge rates far outlasting transient discharge modulations induced by mechanical stimuli (*). Subsequent results shown in Figs. 4 and 5 report changes in cupula motion during tonic efferent activation.

Fig. 4. Nonlinear micromechanical displacements for stimuli near the threshold of sensation. (A and B) Displacement of the cupula as a function of stimulus level at 0.3 Hz. Cupula motion linearly followed the stimulus at high levels of stimulation. At low stimulus levels cupula motion exhibited increased harmonic distortion and higher gain associated with nonlinearity in response. (C and D) At high mechanical stimulus strengths, electrical activation of the efferent system had no effect on motion of the cupula, but at low stimulus strengths harmonic distortion and nonlinear gain observed in the control condition were eliminated. Insets show direct comparisons of responses in the control (A) and the efferent activated conditions (C) for high (a, linear) and low (b, nonlinear) stimulus strengths.

Fig. 5. Mechanical compressive nonlinearity and elimination by efferent activation. (A) First plus second harmonic displacement of the cupula as a function of equivalent angular head velocity for sinusoidal stimuli at 0.3 Hz in three animals (indicated by red and blue symbols, by gray symbols, and (in Inset) by green symbols, respectively). A compressive nonlinearity amplifying the response of the cupula was present for cupula displacements below ~500 nm (red curves, filled squares). The nonlinearity was eliminated by electrical activation of the efferent vestibular system (linear growth with stimulus; blue line, filled circles). (B) Nonlinearity is manifested primarily in the second harmonic illustrated here for the first animal from A. The second harmonic of cupula motion shows an active process that feeds mechanical power into the response (active, solid red line). The large second harmonic was eliminated during efferent activation (blue filled circles). Third harmonics are shown also (open symbols) and were consistent with passive harmonic distortions that grow in linear proportion to the stimulus (passive; solid blue line). See Results and Discussion for further details.
consistent with a quadratic or even-order nonlinearity (37) and may underlie an active process similar to the nonlinearity implicated as essential to otoacoustic emissions and sensitivity in auditory hair-cell organs (26, 38). Efferent activation eliminated both the compressive nonlinearity (Fig. 5A) and the large second harmonic (Fig. 5B). There was considerable variability between animals (n = 6) in the magnitude of the first harmonic gain (average 61 nm per °/s; range 15–120 nm per °/s), probably resulting from differences in the spatial locations of microbeads on cupulae. For stimuli below 3 °/s, the second harmonic nonlinearity during activation of the efferent system (average 37 nm; range 12–55 nm; n = 5) was significantly (P < 0.05) less than observed in the control condition (average 66 nm; range 23–177 nm; n = 6). It is likely that uncontrolled physiological variables (bead location, age, sex, and other factors) contributed to the interanimal variability in the active process and second harmonic component. Absent any other efferent-controlled cells capable of displacing endolymph in the canals, sensitivity to efferent activation strongly indicates that sensory hair cells underlie the amplification reported here. Present data cannot determine whether efferent neurons modulate cycle-by-cycle (39) to coordinate the timing of the amplification with the stimulus or whether the amplification is intrinsic to an active process within the hair bundle that is triggered by the mechanosensitive transduction current as shown previously (2, 22).

To investigate whether the micromechanical nonlinearity reported above might be reflected in the neural signal transmitted to the brain, we recorded a population of afferent responses in the semicircular canal over a broad range of low-strength stimuli. Measurements were done in the living animal without opening the membranous labyrinth. Single-unit afferent responses (e.g., Figs. 2 and 3) were recorded for sinusoidal stimuli, and discharge rates were fit with a two-term Fourier series, identical to the approach used to quantify cupula displacements. Population results (n = 14) showing the magnitude of the afferent discharge modulation (first plus second harmonic) vs. the magnitude of the stimulus (converted to °/s) are summarized in Fig. 6. Individual afferent responses had discharge modulations ranging from ~0.5–23 spk/s per °/s angular head velocity and, to investigate nonlinearity of the population, were scaled by their sensitivity to a 7 °/s stimulus and plotted together in Fig. 6. Consistent with micromechanical results reported in Fig. 5A, most afferent responses at high stimulus strengths were scattered around a 45° line (slope of 1 on a log-log scale) as required for a linear response. A subset of high-gain afferents showed a reduction in gain at high stimulus levels because of a saturating nonlinearity reported previously (29). For lower-strength stimuli, a majority of responses were above the linear prediction, and the slope of the data demonstrated a statistically significant nonlinearity (slope of 0.73 ± 0.03 SD log-log scale). Therefore, a compressive nonlinearity similar to that observed in the micromechanics also was present in neural responses to low-strength stimuli.

Unlike the otolith organs, semicircular canal hair cells are morphologically aligned with each other such that all cells are excited or inhibited for motions in the same direction. Hence, mechanical power generated by the hair cells summates to drive motion of the cupula reported here. This cupula movement works against the viscous drag of the endolymph, thereby allowing us to estimate the active power output by comparing the motion under the control conditions with the passive linear condition during efferent activation. The difference in the cupula motion between the active and passive conditions was primarily the presence of the second harmonic motion (e.g., Figs. 4A and 5B). Based on the power dissipated by endolymph fluid drag compelled by the second harmonic, the active power output per hair cell would need to be at least $P_{\text{ase}} = Q/M$, where $Q$ is the second harmonic component of the endolymph volume velocity, $c$ is the hydraulic resistance of endolymph flow in the slender endolymphatic duct, and $M$ is the number of hair cells contributing. Integrating this power over one cycle and assuming power is delivered during half of the cycle provides the active work done per cycle. We estimated the hydraulic resistance ($c = 3.3 	imes 10^{-9} N s/m^2$) (40), cupula area ($A_c = 1.8 	imes 10^{-7} m^2$) (41), upper limit on the number of hair cells in the semicircular canal cristae of the adult toadfish ($M = 9000$), cupula volume velocity ($c = 0.6 \text{rad/s}$). This calculation results in an estimate of $97 J (97 \times 10^{-21} J)$ per hair cell per cycle, a result that compares favorably with a previous estimate of $79 J$ from isolated bull frog saccular hair cells under quite different experimental conditions (25).

It is remarkable that the compressive nonlinearity, amplification, and efferent control reported here in the semicircular canals are similar to that observed previously in modern species including in the mammalian cochlea (7, 8, 42–44). Results support the idea that the active amplification process is a general principle of hair-cell sensory organs implemented to increase sensitivity to submicron bundle displacements. The absence of the protein prestin in semicircular canal hair cells (11) and established hair-bundle–based motors in other hair-cell organs (2, 16, 25, 36, 45–50) indicates that the hair bundle is the most likely source of the amplification reported here. Alternatively, it remains possible that some yet to be described somatic process compelled displacement of the hair bundles and cupulae in these experiments. Whatever the specific biophysical origin may be, the present results demonstrate an active process in the semicircular canal hair cells of a teleost, thus suggesting that the mechanism is ancestral and may underlie the broad appearance of hair-cell active processes in birds, mammals, reptiles, and amphibians.

Both the somatic and hair-bundle motors are controlled by the brain via efferent synaptic contacts on hair-cell somata. In the semicircular canals, efferent-evoked changes in hair-cell somatic electrical impedance are substantial (30, 51) and may underlie the relatively fast control of the motor described here. Precisely how this control is accomplished remains unclear, particularly because sensitivity to somatic impedance may not be consistent with popular models of hair-bundle motor action. At least three motors in the hair bundle have been identified in a variety of species: (i) an unconventional actin-myosin motor that contributes to slow (in ms) adaptation of the mechanosensitive current and slow hair-bundle movements (46, 52, 53); (ii) $Ca^{2+}$-dependent fast (in μs) adaptation and fast hair-bundle movements (21, 47, 54–58); and (iii) fast electrically driven bundle movements that occur in response to changes in membrane potential (59–61). The semicircular canals are known to transduce angular head motions with flat gains down to very low

Fig. 6. Afferent compressive nonlinearity. The first plus second harmonic discharge rate (spk/s) modulation of semicircular canal afferent neurons is shown as a function of stimulus strength for sinusoidal motion at 2 Hz (three animals, 14 neurons). Afferent data were normalized by their responses at 7 °/s to allow multiple units to be compared using a single vertical axis. The solid blue line with a slope of 1 (log-log scale) illustrates the response of a linear system in which doubling the stimulus doubles the response. For stimuli below ~2 °/s, most afferent responses fell above the blue line and were more sensitive than would be expected from linear theory. Results for low-strength stimuli exhibited a compressive nonlinearity as demonstrated by a slope significantly <1.
frequencies (29, 62) and with very little adaptation in the present experimental model (35). Therefore it seems unlikely that an actin-myosin adaptation motor underlies the amplification reported here. Movement driven by Ca\(^{2+}\) entering the mechanotransduction channels is a possibility, but it is not yet clear if such a mechanism would be consistent with efferent effects. Efferent activation shuts the receptor potential modulation in semicircular canal hair cells and increases the transduction current as the result of the decrease in hair-cell somatic impedance (30, 51). This activity suggests that Ca\(^{2+}\) flow into stereocilia via the transduction channels increases during efferent activation, and hence one might expect increased Ca\(^{2+}\) binding. Because the mechanical power output was shown here to be reduced by efferent activation, it seems compelling that the mechanical power stroke does not reflect Ca\(^{2+}\) influx directly but instead requires a process that is inhibited by efferent activation. One possibility is that efferent-evoked changes in somatic impedance might control the efficiency of the hair-bundle motor through electrical means (60). If so, Ca\(^{2+}\) influx and the transduction channel complex may control the temporal properties of hair-bundle movements, and the bundle mechanical power output might draw significantly from the electrochemical potential energy between the endolymph and perilymph, in analogy to a transistor with power output modulated by temporal signals applied to the base.

**Methods**

Experimental procedures and animal care were designed to advance animal welfare and were approved by the University of Utah and the Marine Biological Laboratory animal care and use committees. The oyster toadfish, Opsanus tau, was used as the experimental model because of the biomechanical homology to humans (41, 63) and to facilitate experiments involving micropipette recording and efferent activation in vivo. Fish were lightly anesthetized by immersion in a solution of tricaine methanesulfonate (5 mg/l in seawater) (Sigma) and immobilized in a plastic tank filled with bubbled seawater. The dorsal surface of the animal was exposed to allow surgical access to the labyrinth via a small craniotomy dorsal to the horizontal canal ampulla. The surgical procedure, placement of fluorescent microbeads on the surface of the cupula, micro-mechanical indentation stimuli, efferent system activation, neural recording, and fluorescent bead motion tracking followed methods detailed previously (30, 33).

Briefly, mechanical indentation (compression) of the slender limb of the hor- izontal canal duct (Fig. 1) causes endolymph to displace away from the point of stimulation and compels deflection of the cupula in the excitatory direction (64). Both excitatory and inhibitory mechanical stimuli were generated by compressing the canal with a preload and moving the indenter with small sinusoidal movements around this preload condition. Responses of individual compressing the canal with a preload and moving the indenter with small sinusoidal movements around this preload condition. Responses of individual}

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